



مجلس البحث العلمي
The Research Council

BLOCK FUNDING AGREEMENT

Research Administration Department



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The Research Council

Block Funding Agreement



- Form of Block Funding Agreement



- Conditions of Block Funding Agreement



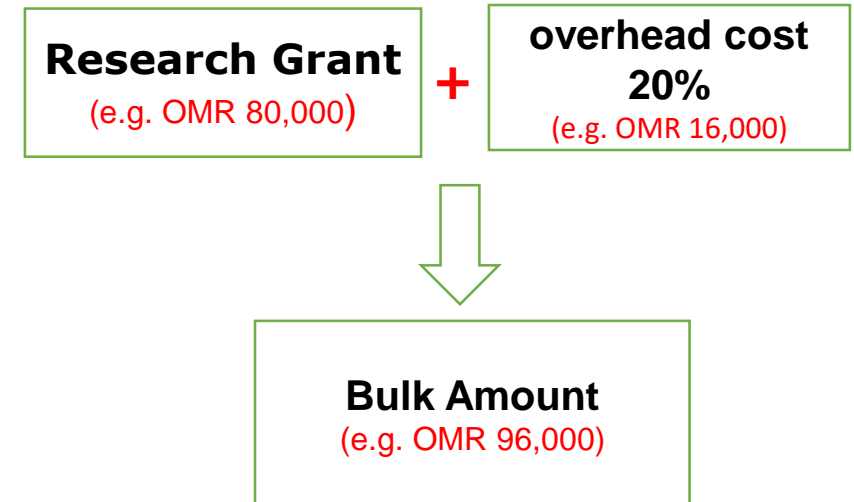
- Guidelines of Block Funding Program



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Form of Block Funding Agreement

- ✓ The Agreement will be between **two parties**.
- ✓ TRC has called for research proposals in the context of its Block Funding Program.
- ✓ The RI shall apply for (BFP) through (RIMS).
- ✓ TRC will transfer the bulk amount of **OMR XXX** to RI , divided as **OMR XXX** for Research Grant (RG) over a maximum period of 2 years (24 months), and **OMR XXX** to cover the overhead cost (up to 20% from the total Research Grants).





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**The
Agreement**

- shall become effective upon the date this Agreement is signed by TRC.

AGREEMENT NO: **TRC/BFP/RI/01/2018**

IN WITNESS WHEREOF the parties hereto have hereunder set their respective hands and seals as per the following last signature date.

Signed for and on behalf of **The Research Council**

Name: _____

Position: _____

Signature: _____

Date: _____

Signed for and on behalf of **RI**

Name: _____

Position: _____

Signature: _____

Date: _____



TRC Stamp

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RI Stamp



CONDITIONS OF BLOCK FUNDING AGREEMENT

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DEFINITION AND INTERPRETATION + GENERAL PROVISIONS

RESPONSIBILITIES

PAYMENT MODALITIES

USE AND TRANSFER OF FINANCIAL RESOURCES

REPORTING REQUIREMENTS

EXPORT REGULATIONS

PROJECT MANAGEMENT

EQUIPMENT OWNERSHIP AND TRANSFER

ETHICAL ISSUES AND DISSEMINATION OF INFORMATION

RESEARCH INTEGRITY, COMPLIANCE AND OBLIGATIONS

CONFIDENTIALITY

INTELLECTUAL PROPERTY RIGHTS AND POLICY

INSPECTION

LIABILITY

FORCE MAJEURE

NOTICES AND SERVICES

ACKNOWLEDGMENT

ASSIGNMENT

GOVERNING LAW

SETTLEMENT OF DISPUTES

AUTHORITY TO ENTER INTO AGREEMENT

USE OF NAME

COMPLIANCE



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RESPONSIBILITIES OF RI



Comply with the **laws, rules and regulations** of the Sultanate of Oman

Comply with the **terms and conditions** set out in the Agreement.

Provide the **assistance, facilities and services** necessary for the efficient conduct of the Project.

Meet the normal overhead and operating expenses of the RI as required to support the Project.

Submit the application through RIMS .

Conduct all the necessary steps to **evaluate the proposals**.

Oman Research
Portal





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PAYMENT MODALITIES

- ✓ (BFP) will be administered by the RI.
- ✓ The bulk payment will be released within **45 days** from receipt of RI claim.
- ✓ TRC **will not provide any additional funds** beyond the agreed upon amount in the signed Agreement.





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ACKNOWLEDGMENT



- ✓ Whenever achievements resulting from TRC-funded research are published , It is the responsibility of the RI to **ensure that TRC Project support is properly acknowledged.**
- ✓ This may imply a written acknowledgment and/or the use of TRC's logo.
- ✓ The recommended form of acknowledgment is: *"The research leading to these results has received funding from the Research Council (TRC) of the Sultanate of Oman under the Block Funding Program. TRC Block Funding Agreement No TRC/BFP/RI/1/2018"*.



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RI INTERNAL AGREEMENT

- ✓ TRC expects RIs to sign internal agreements with their PIs for each funded project.
- ✓ TRC has no Responsibility towards any breach of this Agreement.





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PROJECT MANAGEMENT

EXTENSION

- ✓ RI may extend the project duration and notify TRC through RIMS.
- ✓ The extensions shall not entail the utilization of **unused funds** of the extended project.
- ✓ These funds must be returned to TRC at the end of the funding cycle.
- ✓ The **extensions will negatively impact the level of the funds** allocated to the RI in next calls.



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PROJECT MANAGEMENT



PROJECT TERMINATION

RI may terminate any project and notify TRC through RIMS.

RI shall take all necessary steps and shall keep all necessary **documents for future TRC auditing.**

The **unused budget may be used for another project** under the same program or returned back to TRC at the end of funding cycle.



PROJECT MANAGEMENT

TRC TERMINATION

The **RI is in breach of any of its obligations** arising from this Agreement .

The RI becomes **insolvent or bankrupt**.

TRC shall have no liability to make any **further payment to the RI** for any work performed by the RI **after the date of termination** stated in the termination notice.



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EQUIPMENT OWNERSHIP AND TRANSFER

- ✓ RI should be **responsible for maintaining the equipment** during the project and thereafter.
- ✓ RI is expected to **make it available for other users** .
- ✓ RIs must **display in a visible manner TRC's logo on all equipment** that is purchased through the Block Funding Program.
- ✓ Any **transfer of ownership of the equipment** during or beyond the period of any Project is subject to **TRC's prior approval**.



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ETHICAL ISSUES



RIs should seek **ethical approval** prior to submitting the final Block Funding application to TRC (If applicable).

The objective of this ethical review is to make sure that TRC does not support research, which would be contrary to fundamental ethical principles.

Ethical issues may include the **involvement of human participants, tissue or data in the Project or the use of animals or research** that may result in damage to the environment, and the use of sensitive economic, social or personal data.



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CONFIDENTIALITY

PRIVATE & CONFIDENTIAL

- ✓ Each Party shall treat the details of this Agreement and all documents prepared hereunder as **private and confidential**, **except** that each Party may disclose to its employees, agents, attorneys, accountants, or other third parties only.
- ✓ Neither Party shall **publish or disclose** any particulars relating to this Agreement or the Project in any form of media, or otherwise, **without the previous consent in writing of the other Party**.



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INTELLECTUAL PROPERTY RIGHTS



- The RI shall ensure that the **Project Team follow to the laws** relating to IP
- Unless stated otherwise, the ownership of Intellectual Property Rights rests with the RI.
- **No IP** arising from Projects funded by TRC **shall be transferred outside Oman.**
- Any **collaborative arrangements** concerning IP exploitation should be **documented formally in an agreement.**
- TRC reserves the right to publish or disseminate any reports or outcomes resulting from the funded research projects.



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INSPECTION

- ✓ RIs shall grant TRC full access site visits, to inspect the records and monitor the financial procedures of its funded Projects.
- ✓ TRC also reserves the right to appoint another body or individual(s) to conduct such inspections.





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FORCE MAJEURE

- ✓ If either party is affected by Force Majeure it shall, within a reasonable time, notify the other party, in writing.
- ✓ If the Force Majeure in question persists, or will likely persist, according to the notice, for **a continuous period in excess of six (6) months**, the Party not claiming Force Majeure may terminate the Agreement by a written notice to the party directly sustaining such event of Force Majeure.





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SETTLEMENT OF DISPUTES

- ✓ Any dispute or difference arising out of the contents of this Agreement, including those considered as such by only one of the parties, shall be **settled by amicable settlement**, failing which shall be settled by the specialised court in the Sultanate of Oman.
- ✓ The **court's decision shall be final** and binding on both parties. The resulting award shall be in lieu of any other remedy.





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Thank you

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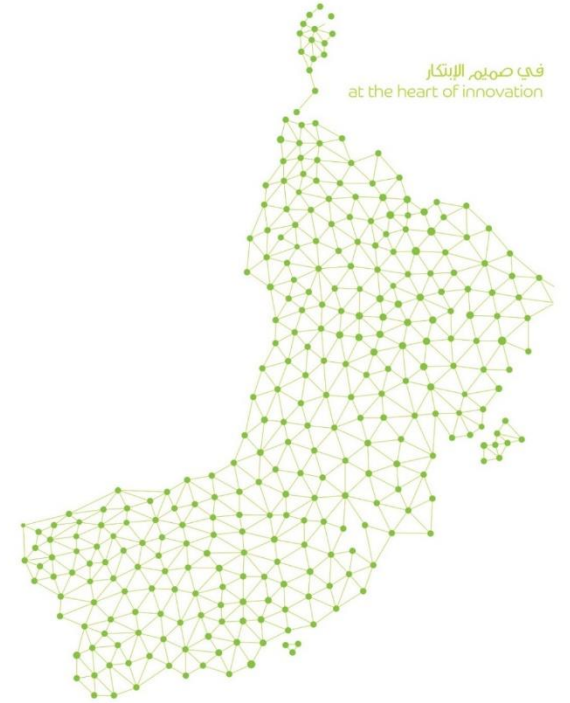
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في صميم الابتكار
at the heart of innovation